



**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: DEUTSCHE BANK AG, LONDON  
TRANSFEROR: CCP CREDIT ACQUISITION HOLDINGS, LLC  
ATTN: RICH VICHADITH  
60 WALL ST., 3RD FLOOR  
NEW YORK NY 10005

Please note that your claim # 58233-59 in the above referenced case and in the amount of  
\$38,075,036.93 allowed at \$25,366,814.38 has been transferred (unless previously expunged by court order)

STONE LION PORTFOLIO LP  
TRANSFEROR: DEUTSCHE BANK AG, LONDON  
ATTN: CLAUDIA BORG  
461 FIFTH AVENUE, 14TH FLOOR  
NEW YORK NY 10017

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 40920 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/05/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 5, 2013.

**EXHIBIT B**

TIME: 10:15:24  
DATE: 11/05/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

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Name	Address
ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 1002210293
ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN LIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
DEUTSCHE BANK AG, LONDON	TRANSFEROR: CCP CREDIT ACQUISITION HOLDINGS, LLC ATTN: RICH VICHADITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH ATTN: SARAH CHAN, DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LTD, SINGAPORE BRANCH, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH ATTN: SARAH CHAN/DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG
HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH	CONTACT: SARAH CHAN, DIRECTOR LEVEL 13 & 14 1 QUEEN'S ROAD CENTRAL HONG KONG
HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH	CONTACT: SARAH CHAN, DIRECTOR LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL HONG KONG
JPMORGAN CHASE BANK, N.A.	TRANSFEROR: PANNING MASTER FUND, LP C/O J.P. MORGAN SECURITIES LLC ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179
PANNING MASTER FUND, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O PANNING CAPITAL MANAGEMENT, LP ATTN: ROBERT BOWERS 50 MADISON AVENUE, 24TH FLOOR NEW YORK NY 10022
PERMAL STONE LION FUND LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: CLAUDIA BORG 461 FIFTH AVENUE, 14TH FLOOR NEW YORK NY 10017
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STITCHING THE IAMEX VALUE FOUNDATION ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STITCHING THE IAMEX VALUE FOUNDATION ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
RIVERROCK SECURITIES LIMITED	TRANSFEROR: STITCHING THE IAMEX VALUE FOUNDATION ATTN: MR. GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
STONE LION PORTFOLIO LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: CLAUDIA BORG 461 FIFTH AVENUE, 14TH FLOOR NEW YORK NY 10017
TURNPIKE LIMITED	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022

Total Number of Records Printed

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